



GFDRR's New Logical Framework



GFDRR
Global Facility for Disaster Reduction and Recovery

Vision

A world where resilient societies manage and adapt to ever-changing disaster and climate risk, and where the human and economic impact of disasters is reduced.

Strategic Objectives

OBJECTIVE 1

Evidence and knowledge on effective disaster and climate resilience approaches are generated and shared for improved policy and practice.

Contributes to Sendai Framework Priority 1

OBJECTIVE 2

Risk-informed development is adopted at national, sub-national, and community level, using integrated, inclusive, and participatory approaches.

Contributes to Sendai Framework Priority 2

OBJECTIVE 3

Governments in vulnerable countries have access to additional investments for scaling up disaster and climate resilience building.

Contributes to Sendai Framework Priority 3

OBJECTIVE 4

Disaster preparedness and resilient recovery capacity is increased at national, sub-national, and community level.

Contributes to Sendai Framework Priorities 3 & 4

Outcomes

- 1.1 Risk profiles and hazard maps accessible and utilized.
- 1.2 Knowledge products to support disaster and climate resilience utilized.
- 1.3 Innovative solutions for addressing natural hazards and climate change risk utilized.
- 1.4 Good practices, evidence, and results demonstrating effective disaster and climate resilience disseminated.
- 1.5 Partnerships and knowledge exchange facilitated.

- 2.1 Capacity for risk-informed policy formulation in formal institutions strengthened.
- 2.2 Understanding and/or responsiveness to gender and socially-differentiated risks increased.
- 2.3 Use of disaster and climate risk information in decision-making and policy change increased.
- 2.4 Civil society and communities, including vulnerable groups, engaged in policy formulation.
- 2.5 Planning, regulation, and infrastructure risk-informed.
- 2.6 Vulnerable groups empowered to manage disaster and climate change risks.

- 3.1 Additional financing for resilience investments from national and sub-national governments, development partners, and/or the private sector mobilized.
- 3.2 Design and/or implementation of DRM investments by national and sub-national governments and/or development partners enabled.

- 4.1 Early-warning systems and hydromet services strengthened.
- 4.2 Vulnerable individuals covered by social protection systems in the event of a disaster.
- 4.3 Financial resilience of governments and private sector increased.
- 4.4 Understanding and/or responsiveness to gender-sensitive needs in preparedness planning and/or resilient recovery increased.
- 4.5 Civil society and communities engaged in preparedness planning and/or resilient recovery.
- 4.6 Government capacity to conduct post-disaster assessments and/or resilient recovery planning strengthened.

Outputs

GFDRR grant activities lead to three types of outputs:

- ① technical advisory services;
- ② capacity building; and
- ③ analytical and knowledge products and tools.

Outputs are specific to individual grants. GFDRR grants support activities in the following areas of engagement:

- Promoting open access to information
- Promoting resilient infrastructure
- Scaling up resilience of cities

- Strengthening hydromet services and early warning systems
- Deepening financial protection
- Building resilience at community level
- Deepening engagements in resilience to climate change
- Enabling resilient recovery

Inputs

GFDRR provides grant financing, and mobilizes technical experts through its strategic partnerships with the World Bank Group, national governments, and development partners.

Operating Principles

- ① Demand-driven approach to ensure maximum impact
- ② Leveraging and influencing development investments and policies
- ③ Focusing on inclusive design and participation
- ④ Empowering women and mainstreaming gender
- ⑤ Jointly addressing disaster and climate risk
- ⑥ Developing knowledge and sharing good practice
- ⑦ Prioritizing a results-orientated approach

Explanatory Note

The GFDRR Secretariat has developed a new Logical Framework to accompany its new Strategy 2018-21, endorsed by the Consultative Group (CG) in April 2017. The Logical Framework is a diagram that illustrates the causal relationships between GFDRR inputs, activities, outputs, outcomes, strategic objectives, and the Facility's overarching vision. It provides a conceptual 'road map' for GFDRR to show anticipated change over the fiscal year 2018-21 period. This explanatory note presents the rationale and components of this Logical Framework. The Logical Framework is in draft and will be discussed at the November 7, 2017 CG meeting.

Rationale

Established in 2007, GFDRR is a grant-making facility that supports activities to strengthen disaster and climate resilience in over 70 developing countries. In April 2017, the CG endorsed a new Strategy for GFDRR. This Strategy deepens the Facility's mandate to help mobilize larger investments for disaster and climate resilience and integrate disaster risk management (DRM) into development planning. Additionally, it articulates the operating principles underpinning the Strategy and establishes eight priority areas of engagement for the Secretariat. At this meeting, the CG identified strengthening GFDRR's monitoring and evaluation (M&E) as a priority.

To accompany this new Strategy, the Secretariat has developed a Logical Framework, based on a theory of change that a meaningful reduction of risk from natural hazards and climate change can only be achieved by actively influencing the development paths of partner countries. This can be achieved by supporting countries to better identify disaster and climate risk, and by providing technical advice to support the design of resilient development pathways, so that the creation of new risk is minimized and existing risks are reduced over time.

The Secretariat chose a simple format for the Logical Framework to appeal to a broad audience. The whole of GFDRR's portfolio is captured within the Logical Framework, including all trust funds and specific donor-funded programs. It outlines the range of development outcomes GFDRR anticipates from the aggregate of activities funded through its grants.

The thinking underpinning the formulation of this Logical Framework reflects GFDRR's experience since establishment. It is a forward-looking document and reflects GFDRR's contributions to the Sendai Framework for Disaster Risk Reduction 2015-2030, and more broadly its contributions to

the global resilience agenda (e.g. Paris Agreement on Climate Change, Sustainable Development Goals).

Components

Vision

GFDRR's 'vision' as stated within the Logical Framework is drawn from the new Strategy and aligns with the Partnership Charter. This vision is: *"A world where resilient societies manage and adapt to ever-changing disaster and climate risks and where the human and economic impact of disasters is reduced."*

This vision connects to global ambitions to end extreme poverty and promote sustainable development, with a specific focus on those most vulnerable to shocks from natural hazards and climate change. GFDRR makes a valuable contribution to achieving this vision by supporting international, regional, and national-level efforts to manage risk and build disaster and climate resilience.

Strategic Objectives

The four strategic objectives included in the Logical Framework help to operationalize the Strategy. They are long-term externally focused objectives that help to convert GFDRR's broad vision to more specific goals for the Strategy's three-year period. The strategic objectives are as follows:

- **Strategic Objective 1: Evidence and knowledge on effective disaster and climate resilience approaches are generated and shared for improved policy and practice.**
Leading to this strategic objective, GFDRR will support activities aimed at generating disaster and climate risk information, knowledge, and capturing good practices. GFDRR will further support the exchange and dissemination of information and knowledge through its partnerships and support for knowledge exchange activities.
- **Strategic Objective 2: Risk-informed development is adopted at national, sub-national, and community level using integrated, inclusive, and participatory approaches.**
Leading to this strategic objective, GFDRR will support activities in partner countries aimed at building the capacity of institutions, government officials, and communities for risk-informed development. These activities include support for policy and regulatory reform, municipal-level multi-sector DRM infrastructure/investment plans, risk-informed infrastructure, and encouraging citizen and community engagement in these processes.

¹ GFDRR Strategy 2018-21 (April 2017) <https://www.gfdr.org/sites/default/files/publication/gfdr-strategy-2018%E2%80%932021.pdf>

■ **Strategic Objective 3: Governments in vulnerable countries have access to additional investments for scaling up disaster and climate resilience building.**

Leading to this strategic objective, to help bring resilience to scale, GFDRR will support activities that have the potential to mobilize additional investment for disaster and climate resilience building operations from the World Bank, developing country partners, other international donors, and the private sector.

■ **Strategic Objective 4: Disaster preparedness and resilient recovery capacity is increased at national, sub-national, and community level.**

Leading to this strategic objective, GFDRR will support strengthening the early warning and preparedness capacity of institutions, government officials, and communities. GFDRR will also support activities aimed at strengthening countries' financial resilience and adaptive social protection capacity. Activities supported by GFDRR will aim to encourage citizen and community engagement in preparedness and recovery processes.

Outcomes

The new Logical Framework has a sharp focus on capturing the expected outcome-level results from GFDRR's portfolio. These outcomes represent the development change the Secretariat expects will take place and can be confidently attributable to GFDRR. The expected outcomes are clustered by the contribution they make to the higher-order strategic objectives. Expected outcomes included are short- to medium-term. The Secretariat considers the level of ambition of these expected outcomes is reasonable given GFDRR funds activities that range in implementation timeframe from under one year to multiple years. Please note, short term outcomes can be in direct control of GFDRR grant recipients; and medium-term outcomes require the collective effort of partners, and therefore are less in control of a GFDRR grant recipient.

This Logical Framework introduces expected outcomes for gender, climate resilience, community engagement and empowerment, and social protection. This will enable GFDRR to better monitor and report on these issues at the outcome-level. The selection of proposed expected outcomes has been derived through an extensive consultation process with GFDRR and World Bank staff. The Secretariat has tried to keep expected outcomes to a manageable number to support the delivery of high-quality reporting, following best practices within the M&E field.

Outputs

Outputs are either products or services and are specific to each GFDRR grant activity. The Secretariat broadly categorizes outputs from GFDRR grants as: (i) technical advisory services; (ii) capacity building; and (iii) analytical and knowledge products and tools. Outputs are in the direct control of GFDRR grant recipients and their achievement is directly attributable to GFDRR.

GFDRR grant activities are characterised in the new Strategy by Area of Engagement, which represent eight priority areas of investment for the Facility. Please note, a grant activity can fall within one or several engagement areas. GFDRR's Areas of Engagement are as follows:

■ **Promoting open access to information**

GFDRR supports the use of cutting edge science and technology to create disaster risk information that is openly available and easily understandable by all actors responsible for managing disaster and climate risk. GFDRR is supporting a range of initiatives, including supporting communities to map their exposure to disasters and climate change.

■ **Promoting resilient infrastructure**

GFDRR provides technical assistance to governments to improve the design, operation and maintenance, and contingency planning of new and rehabilitated infrastructure. This includes schools, roads, water and sanitation, and electricity.

■ **Scaling up resilience of cities**

GFDRR supports the development and implementation of city-level resilience plans. It also helps develop viable financing strategies for these plans.

■ **Strengthening hydromet services and early warning systems**

GFDRR offers technical expertise and capacity building to support governments to modernize their hydromet services and early warning systems. This includes through its engagement in the World Bank/WMO Africa Hydromet Initiative and the CREWS (Climate Risk & Early Warning Systems) Initiative.

■ **Deepening financial protection**

GFDRR supports activities that connect financial expertise with governments and industries to develop comprehensive financial protection strategies and structure innovative financial and social protection programs.

■ **Building resilience at community level**

GFDRR supports local-level expertise in DRM and promotes scalable models that engage directly with communities to empower them to take resilience actions.

■ Deepening engagements in resilience to climate change

GFDRR provides specialized expertise, data, tools, and technical assistance to ensure investments in resilience are designed considering future climate change and variability.

■ Enabling resilient recovery

GFDRR provides technical support to countries to assess the impact of disasters and help enable recovery planning. Further, GFDRR supports countries in the disaster preparedness phase to build capacity for timely and effective post-disaster assessments and recovery planning.

Inputs

Inputs are the resources (e.g. funds, technical assistance, materials) used to produce an output. The Secretariat: (i) provides financing and grant fund management; (ii) mobilizes technical experts through its strategic partnerships with the World Bank Group, national governments, other development partners, and the private sector; and (iii) provides results-based management, concurrent with strategic planning, progress monitoring, and evaluation.

Operating Principles

The Secretariat's approach to delivering on its mission is guided by seven operating principles. These operating principles are outlined in the new Strategy and they underpin the Logical Framework. They are:

- Demand-driven approach to ensure maximum impact;
- Leveraging development investments and policies;
- Focusing on inclusive design and participation;
- Empowering women and mainstreaming gender;
- Jointly addressing disaster and climate risk;
- Developing knowledge and sharing best practices; and
- Prioritizing a results-orientated approach.

Performance Management

The new Logical Framework will guide GFDRR's performance management system, which is under revision. As part of this revision, a Results Framework (in draft) has been developed that includes indicators, baselines, and targets the Secretariat will use to track and measure performance across its entire portfolio. Once the CG has endorsed the new Logical Framework, specific monitoring and reporting tools and processes will be developed for use by Secretariat staff.

Contribution to the Sendai Framework for Disaster Risk Reduction 2015-2030

As part of its mission, GFDRR supports activities that help facilitate implementation of Sendai Framework for Disaster Risk Reduction 2015-2030. The Secretariat has completed a detailed mapping of the Logical Framework against the Sendai Framework to understand the extensive contribution of GFDRR's portfolio in support of developing countries to progress toward Sendai's goal, targets, and priorities for action. A separate briefing note has been produced by the Secretariat that details this contribution.

The Sendai Priorities for Action mapped against GFDRR's Strategic Objectives are as follows:

Sendai Priority 1: Understanding disaster risk

This priority maps directly to GFDRR's first Strategic Objective, under which the Facility will support activities that help countries to generate and access credible risk information, knowledge, and best practices.

Sendai Priority 2: Strengthening disaster risk governance to manage disaster risk.

This priority maps directly to GFDRR's second Strategic Objective, under which the Facility will support partner countries at national and sub-national levels to integrate disaster and climate risk information into development policy and planning decisions, and processes.

Sendai Priority 3: Investing in disaster risk reduction for resilience

This priority maps directly to GFDRR's third Strategic Objective, under which the Facility will support activities that have the potential to enable additional investments for scaling up resilience-building operations.

Sendai Priority 4: Enhancing disaster preparedness for effective response and to "Build Back Better" in recovery, rehabilitation and reconstruction

This priority maps to both GFDRR's third and fourth Strategic Objectives, under which the Facility will support countries in the preparedness phase to build institutional and human capacity for timely and effective disaster response and recovery planning; support countries in the aftermath of disasters undertake needs and damage assessments and facilitate timely recovery planning; and, strengthen financial resilience and social protection systems.