



Global Facility for Disaster Reduction and Recovery (GFDRR)

*Committed to reducing vulnerability to hazards
By mainstreaming disaster risk reduction and recovery in development strategies*

Track II Program of Support for Mainstreaming Disaster Risk Reduction in Country Development Strategies

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1. Introduction The Global Facility for Disaster Reduction and Recovery (GFDRR) is an initiative of donors, the UN International Strategy for Disaster Reduction (ISDR), and the World Bank in support of the Hyogo Framework for Action (HFA) to reduce vulnerability to disasters. The Governments of **Australia, Canada, Denmark, France, Italy, Japan, Luxembourg, Norway, Spain, Sweden, Switzerland, the United Kingdom,** and the **European Commission** and the **World Bank** all contribute to GFDRR and its mission to mainstream disaster risk reduction (DRR) and climate change adaptation in country development strategies (PRs, CASs, UNDAFs, NAPAs, etc). Through its three financing tracks, GFDRR pursues its objectives at the global, regional and country levels to address disasters both *ex ante* and *ex post*. Track I supports the annual work program of ISDR to enhance global and regional advocacy, partnerships, and knowledge management in disaster risk reduction. Track II provides *ex ante* support, primarily through a 3-year technical assistance program to enhance investments in risk reduction and risk transfer mechanisms. Track III is deployed to strengthen the mobilization of international assistance for sustainable disaster recovery and supports low-income countries to accelerate recovery operations. GFDRR operations are governed by its Charter. (Please visit www.gfdr.org for details).

2. Country Eligibility: Track II is primarily focused on achieving results through country-specific activities. Track II beneficiary countries are low- or middle-income countries prone to high disaster risks and/or requiring special attention due to adverse geo-economical settings, such as small-island and fragile states. Priority will be given to those countries that: (i) Have a new national development plan or Poverty Reduction Strategy in the pipeline; or (ii) Expressed interest to the GFDRR in developing a disaster risk reduction strategy that is not funded elsewhere; or (iii) Requested assistance from other donors or investors to prepare an investment framework, financing strategy, or specific high priority risk mitigation and emergency preparedness project, but whose implementation is hampered by lack of institutional capacity or sufficient funding; or (iv) Have been specifically designated by a donor to receive support from Non-Core Funds approved by the GFDRR Secretariat.

3. Activities eligible for GFDRR Track II Support GFDRR can support a comprehensive program of country-specific analytical and advisory services, institution building, advocacy, training and capacity building and related activities that support the implementation of the Hyogo Framework for Action and mainstreaming of disaster risk reduction in country development strategies. For more information on eligible activities, please see the GFDRR Charter. For budgeting purposes, up to 10% of the total GFDRR funds under Track II can be used for task management.

4. Priority Countries for GFDRR Grants: For the year 2009, following countries have been identified as priority countries for GFDRR funding:

Africa: Burkina Faso, Ethiopia, Ghana, Madagascar, Malawi, Mali, Mozambique, Senegal, Togo;

East Asia and Pacific: Cambodia*, Indonesia, Lao PDR*, Marshall Islands, Papua New Guinea, Solomon Islands, Philippines*, Vanuatu*, Vietnam;

Europe and Central Asia: Kyrgyz Republic;

Latin America and Caribbean: Colombia*, Costa Rica*, Ecuador*, Guatemala*, Haiti, Panama;

Middle East and North Africa: Djibouti, Republic of Yemen;

South Asia: Bangladesh*, Nepal, Pakistan*, Sri Lanka*

** These are countries earmarked by donors for long-term programs and committed resource allocation)*

Subject to funds availability by July 1, 2009, GFDRR can also support multi-country, high-impact and innovative small grants in any disaster prone country. The drivers of these small grants are (a) analytical work which provide economic evidence to integrate disaster risk reduction into new country development strategies (b) project preparation support for a stand alone DRR investment from IDA/IBRD (c) design a DRR component added on to a pipeline or ongoing development investment program, and (d) grants which enable better integration of DRR in climate change adaptation programs.

5. Application Process and Timelines

GFDRR Secretariat invites proposals for support under Track II of the GFDRR on a periodic basis. All proposals undergo a two-stage evaluation. Stage 1 is a screening process and contains a short summary of a proposed activity. Once Stage 1 is approved, Stage 2 is completed by the proponent. Depending on the merits of a proposal and availability of funds, some applications may not proceed beyond Stage 1. The application and

evaluation process is guided by the GFDRR Operations Manual (Link, when available). All proposals are first submitted to the GFDRR Secretariat.

The World Bank staff can apply online by logging on to <http://rbms>

Stage 1 proponents that meet the threshold eligibility and evaluation criteria are notified by the GFDRR Secretariat to prepare a detailed Stage 2 proposal. The GFDRR Secretariat evaluates the Stage 2 proposal. If the proposal is to be funded out of non-core funds, the proposal is submitted to the relevant donor for their no-objection. If the proposal is to be funded out of core funds, the proposal is submitted to the Consultative Group for their no-objection.

Once an activity is approved by the relevant donor if non-core funded or by the Consultative Group if core funded, a Grant Agreement/Memorandum of Understanding is entered into by and between the GFDRR Secretariat and the applicant's organization/unit.

Following is an indicative timeline of the application process:

ACTION	Indicative Period
1. Stage 1 application submitted to the GFDRR Secretariat and evaluated	Weeks 1-4
2. Stage 1 proponent notified by the Secretariat to proceed with detailed Stage 2 application.	Week 5
3. Stage 2 detailed application prepared and submitted by proponent to the GFDRR Secretariat for evaluation	Weeks 6- 10
4. GFDRR Secretariat prepares a summary document listing Stage 2 detailed applications and sends to the Consultative Group or specific donor in case of non-core funded application, for their 10-day no-objection period	Weeks 11-13
5. GFDRR Secretariat notifies all concerned of the approval of Stage 2 application.	Week 14
6. GFDRR enters into agreement with team leader and his/her sector manager/director to implement GFDRR funded activity.	Week 15-17

Obtaining application forms: The application form requesting GFDRR support may be obtained from the GFDRR website. Application templates for Stages 1 and 2 are enclosed as Annex-I and II.

Submitting an application form: Once completed, applications can be sent by email to the GFDRR Secretariat at Application@GFDRR.org, or by mail to GFDRR Secretariat, MSN H6-601, c/o The World Bank Group, 1818 H Street NW, Washington, DC 20433.

Deadlines will be given each time a call for proposal is launched.

GFDRR Secretariat
Application GFDRR Secretariat, MSN H6-601 c/o The World Bank Group, 1818 H Street NW, Washington, DC 20433. Tel: (202) 458-8902 Fax: (202) 522-3232 Email: Application@GFDRR.org



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STAGE 1 APPLICATION FOR GFDRR TRACK II SUPPORT Proposed Title

A1. Proponent

Name:	Position:	
Organization:		
Address:		
Telephone:	Fax:	Email:

A2. Geographic Focus of Proposed Activity:

	Individual Country <i>(please specify):</i> ¹
	Multi-Country <i>(please specify):</i>
	<i>Provide a concise justification for multi-country activity here:</i>

A3. Sector Focus of Proposed Activity: *Sector(s) Focus for Mainstreaming Disaster Risk Reduction: Please limit your selection to the most relevant sectors.*

Agriculture and Rural Development	Catastrophic Risk Financing and Financial Sector Development	
Climate Change Adaptation	Economic Policy and Macroeconomic Analysis	
Education	Environment	Energy and Mining
Gender and Development	Health, Nutrition and Population	Housing
Poverty Reduction Strategy	Project Finance and Guarantees	Public Infrastructure
Public Sector Governance	Public-Private Partnership in Disaster Risk Reduction	
Rural Sector	Social Development	Social Protection
Transport	Urban Development	Water Supply and Sanitation
Multi-sector		
Other <i>[please specify]</i>		
<i>Rationale for intervention in the sector selected above:</i>		

¹ Please refer to the Guidelines accompanying this form for the list of countries eligible for GFDRR funding.

A4. Summary of Proposed Activity and implementation strategy: Briefly describe [in 500 words or less] the activity proposed for GFDRR funding. The proposed activity should clearly link with the country's Poverty Reduction Strategy, its Country Assistance Strategy, UNDAF, NAPAs, etc. [NB – length will be locked not to exceed 500 characters].

A5. Activity Objectives and Impact Assessment: Summarize the primary objective of the proposed activity and the key indicators against which the success of the activity might be judged. Include here how to meet HFA established benchmarks. Please refer to the list of such indicators by HFA priorities as presented in Annex III. Where possible, indicate the expected timing of the event or level of impact. This should also include information on investments anticipated to be generated that directly address risk reduction.

A6. Financing Plan Once the activity is approved to proceed to Stage 2, a detailed budget will be requested. Present here a summary of the financing plan by major components. If co-financing is not yet approved, indicate the status of the request and any relevant timing (it is particularly important to indicate any estimates of government cash or in-kind contributions).

Major Components	GFDRR Request (US\$)	Co-financing		Total Cost (US\$)
		US\$	Source (e.g., government cash or in-kind contributions; proponent's own resources, donor funds)	
Consulting Services <i>(fees, travel, per diem)</i>				
Task Team Supervision Cost ² <i>(fees, travel, per diem)</i>				
Dissemination Costs <i>(Translation, editing, publication, etc.)</i>				
Logistics <i>(Training, workshops, conference facilities, stakeholder consultation, etc.)</i>				
Pilot Works <i>(please specify and provide rationale for use)</i>				
Other <i>(please specify)</i>				
<i>Total Financing/Costs</i>				

Please email the completed form to: Application@GFDRR.org. For more information, please visit the GFDRR website at <http://www.gfdr.org>

² This is limited to 10% of the proposed Total GFDRR Request.



The World Bank

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STAGE 2 APPLICATION FOR GFDRR TRACK II SUPPORT Title (From Stage 1 Application)

A1. Proponent

Name:	Position:	
Organization:		
Address:		
Telephone:	Fax:	Email:

A2. Geographic Focus of Proposed Activity

	Individual Country <i>(please specify)</i> : ³
	Multi-Country <i>(please specify)</i> :
	<i>Provide a concise justification for multi-country activity here:</i>

A3. Government Endorsement of Country-Specific Activities:

Please indicate the name and corresponding details for the government authority endorsing this proposal. There must be clear evidence of government ownership of activities proposed here. Attach additional info in D1.

Name of responsible official:		
Position:		
Ministry/Agency:		Country:
Tel:	Fax:	Email:

B1. BASELINE INFORMATION

<p>Policy – <i>Does the government have a national policy on disaster risk reduction? How does this policy support risk reducing development in all sectors? Does this policy promote climate change adaptation? Include sources of information for assessing how the national government pursues disaster risk reduction policies in national and sub-national development processes. Identify challenges encountered.</i></p>
<p>Strategy – <i>Describe how disaster risk reduction is integrated into the country's development strategies, e.g., PRSPs, Socio-economic Development Plans, Country Assistance Strategies, UNDAF, National Adaptation Plans of Action, etc. Identify sources of information to support this assessment, including challenges encountered in implementing these strategies. How does the proposed project link with the various development strategies?</i></p>

⁷ Please refer to the guidelines accompanying this form for the list of countries eligible for GFDRR funding.

Institutional, legal and regulatory framework - <i>Is there a national coordinating agency for disaster risk reduction? Describe briefly the institutional and regulatory frameworks facilitating the coordination mechanism.</i>
Country Programming and Financing – <i>How do national programs and projects assess hazards vulnerabilities, capacities, and manage risks in different parts of the country? Describe briefly how the country’s national budget support disaster risk reduction and define the national government’s direct budgetary support mechanism[s]. What % of GDP is currently planned for risk reduction, relief and recovery? Who are the major donors supporting risk reduction programs through budgetary support to government?</i>
Proponent Organization’s Project Cycle Management – <i>Does the organization have a project cycle management? Describe how disaster risk reduction is integrated into your organization’s project planning, project implementation; project evaluation and/or project re-design processes. Describe challenges faced and plans to overcome these in different sectors, particularly the sector in which this proposal is being made.</i>

B2. Nature of Proposed Activity: *Check a box to specify the primary nature of the proposed activity (P) and where applicable, check one or more boxes which reflect secondary natures (S).*

Primary (P) one only	Secondary (S) One or more	
<input type="checkbox"/>	<input type="checkbox"/>	Institutional Capacity and Consensus Building for Disaster Risk Reduction (includes Advocacy and Training) Activities aimed at building government capacity in disaster risk reduction, climate adaptation arrangements and in the regulation of disaster mitigation, response, recovery, climate change adaptation.
<input type="checkbox"/>	<input type="checkbox"/>	Disaster Risk Assessment and Monitoring Advice on the design and implementation of assessments, including studies and drafting of instruments.
<input type="checkbox"/>	<input type="checkbox"/>	Knowledge and Capacity Enhancement for Disaster Risk Reduction Using knowledge, innovation and education to build a culture of safety and resilience at all levels.
<input type="checkbox"/>	<input type="checkbox"/>	Disaster Risk Reduction and Risk Financing for Sustainable Recovery Support to the design and implementation of particular mitigating projects or transactions - pioneering - reflect some measure of innovation, and offer potential demonstration effects. Support to designing mechanisms to fund risk transfers related to disaster risk reduction, insurance mechanisms, business continuity modeling, etc.
<input type="checkbox"/>	<input type="checkbox"/>	Disaster Preparedness and Sustainable Recovery Devising strategies for emergency management, preparedness mechanisms, etc.

B3. Primary Type of Outcomes: Please select relevant outcome indicators pertaining to the primary nature you have selected above. The list of indicators are presented in Annex III.

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B4. Primary Type of Deliverable: *(e.g., study/report, survey, environmental and social impact assessment, economic and financial analysis, training, seminar, workshop, other kind of deliverables).*

B5. Consistency with GFDRR Mission: *Describe briefly how this specific activity would contribute to GFDRR's overarching objective of reducing vulnerabilities to hazard and help eliminate poverty and achieve sustainable development.*

B6. Coordination Issues: *Describe how the proposed activity is related to recently completed, ongoing or proposed activities funded by international financial institutions or official donors, and list these activities and their sponsoring agency. What measures have been taken to ensure that the activity is not in conflict with programs or activities of donors, including the World Bank? Also include here coordination with other development partners in terms of project preparation.*

IMPLEMENTATION AND FINANCING PLAN

C1. Implementation Approach and Schedule: *Briefly describe each element of the implementation approach: (a) the implementing entity (e.g. consultants, government officials, etc); (b) for country-specific activities, key counterpart institutions; (c) measures to involve key stakeholders; and (d) how the output of the activity is proposed to be disseminated, including its target audience. Indicate a beginning and end date, as well as major milestones. If a multi-phased approach is proposed, include indicative timing for all major activity phases.*

C2. Detailed Budget: *Please attach a detailed budget clearly indicating sources and uses of fund, including co-funding sources.*

C3. Quality Assurance Mechanisms, Replication, Scaling Up, Monitoring and Evaluation: *Describe the measures that will be in place to ensure the activity is subject to independent quality review (e.g., external peer reviewer or advisory groups. Briefly describe how experience and lessons learned in proposed activity will be scaled up, disseminated.) Describe specific performance indicators and how these will be monitored during project implementation.*

SUPPLEMENTARY INFORMATION AND MATERIALS

D1. Additional and Supporting Information: *Include any additional information that may be useful in evaluating the proposal (e.g., related activities which may have been undertaken; planned follow-on activities; etc.). List all supporting material including, where appropriate, government approval letters, and terms of reference. To the extent possible, please attach these materials as electronic files if submitting application by electronic mail. Where material has been sent to GFDRR separately from this application, indicate the date and form (e.g., fax, courier, etc.) of transmission to GFDRR.*

Please email the completed form to: Application@GFDRR.org. For more information, please visit the GFDRR website at <http://www.gfdr.org>